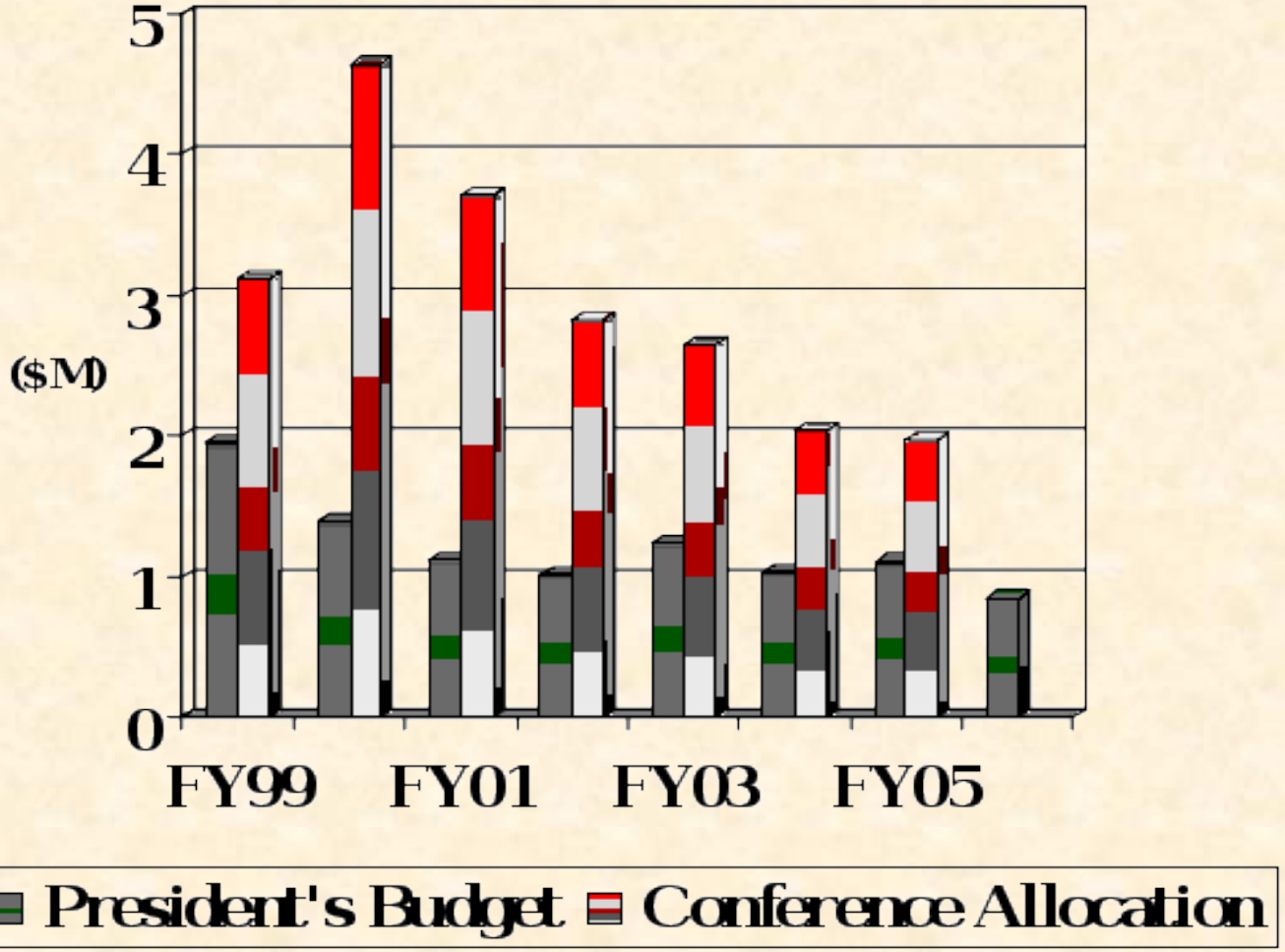
Philadelphia Engineer District

Civil Works Program & FY06 Budget

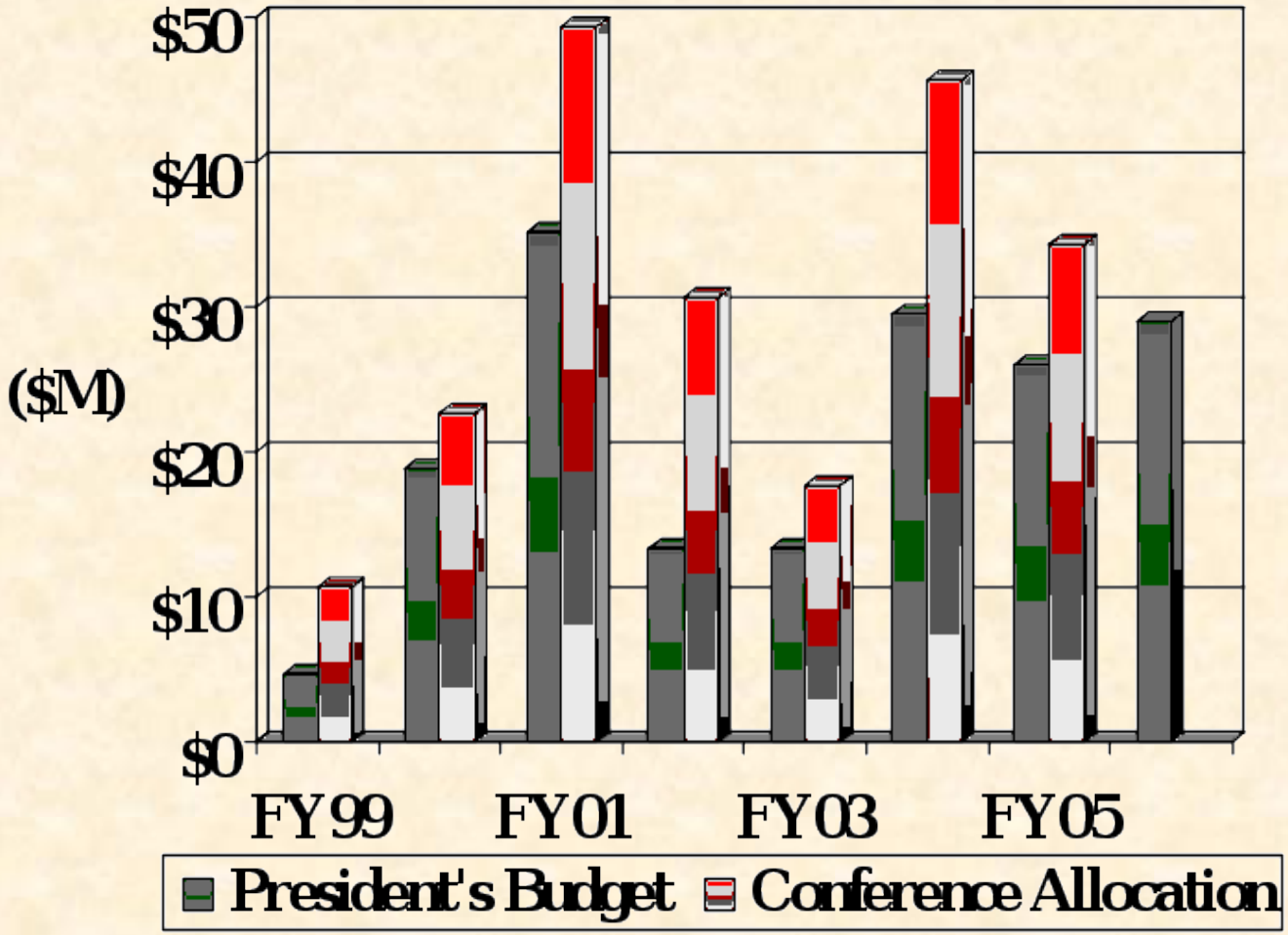
GI Program Trends

Philadelphia Engineer District



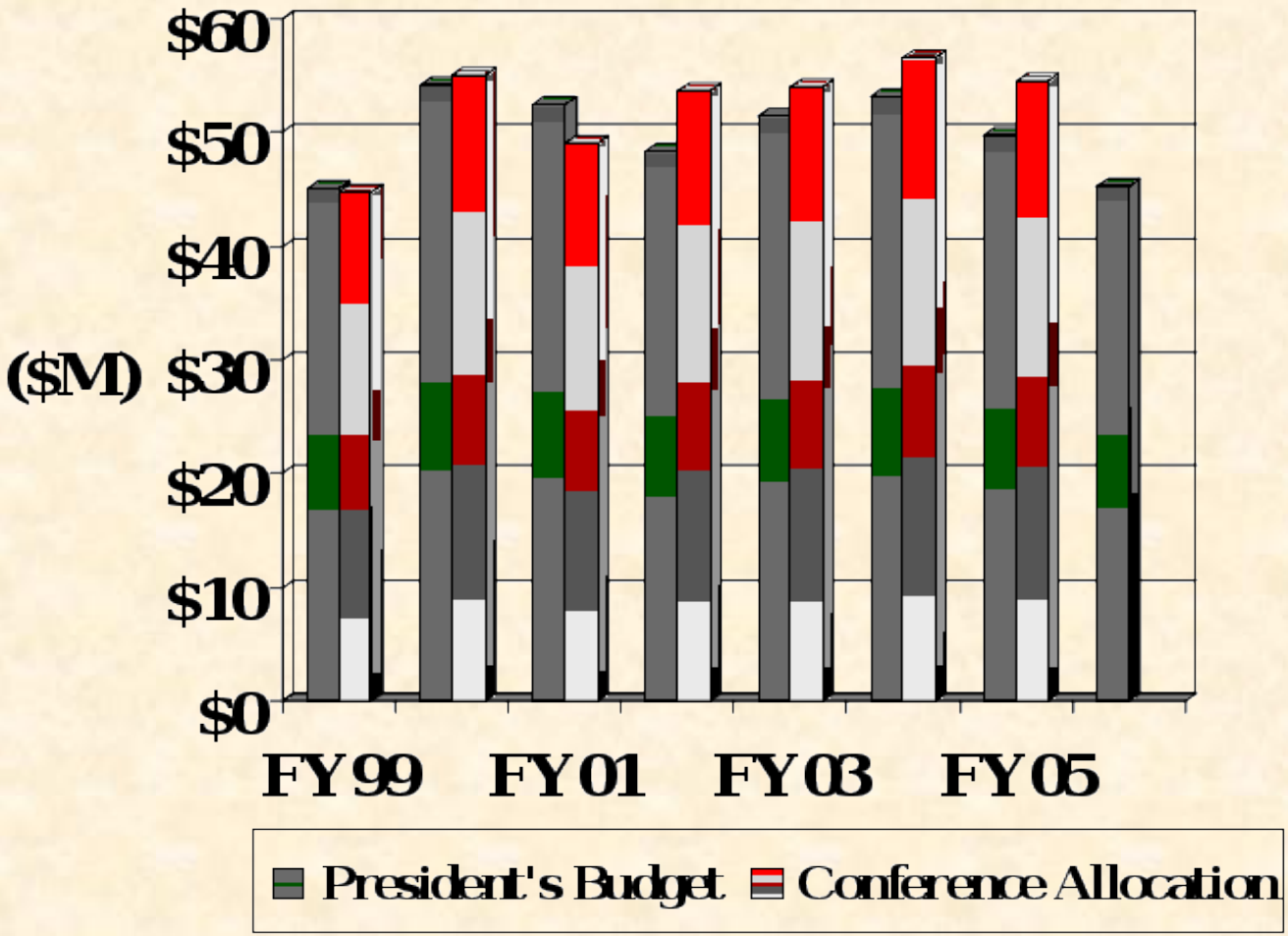
CG Program Trends

Philadelphia Engineer District



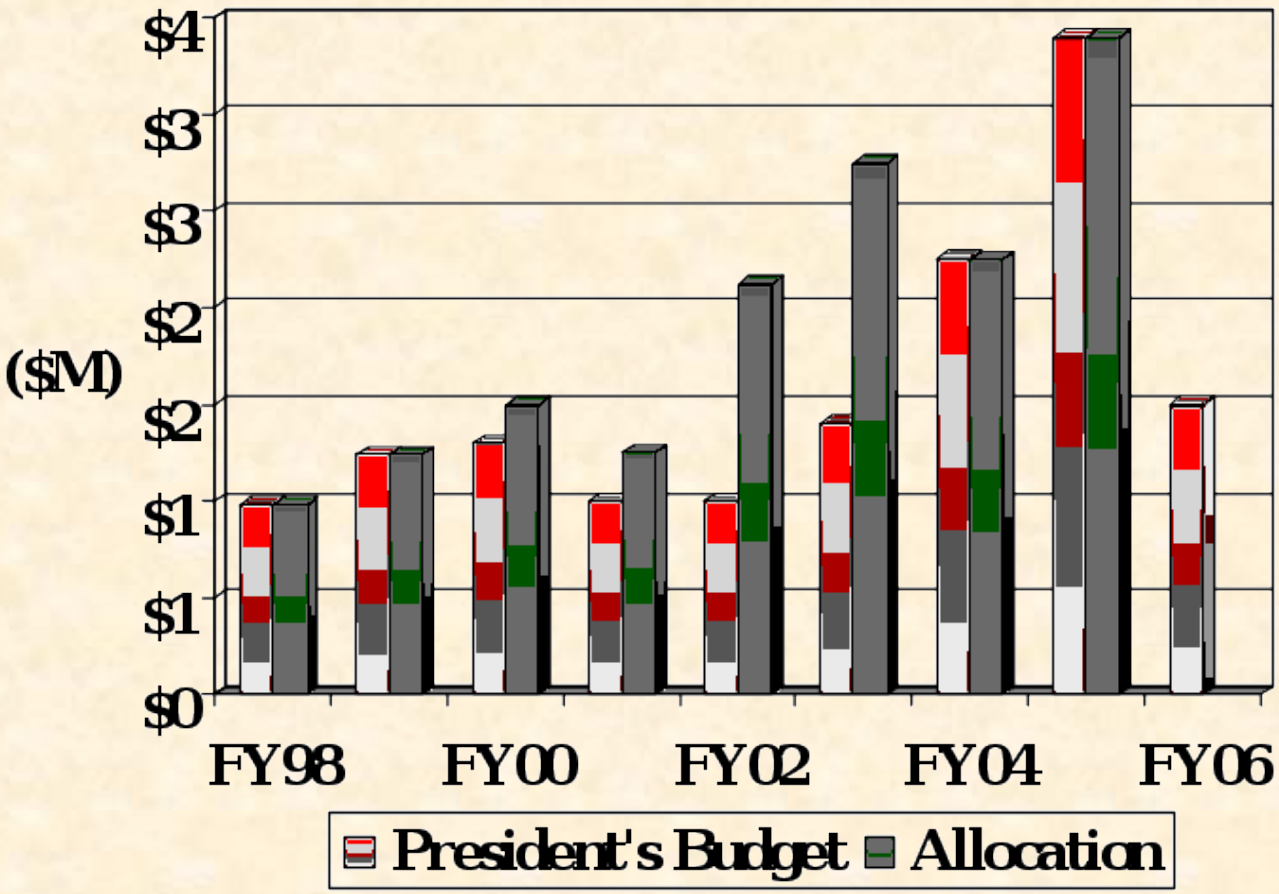
O&M Program Trends

Philadelphia Engineer District



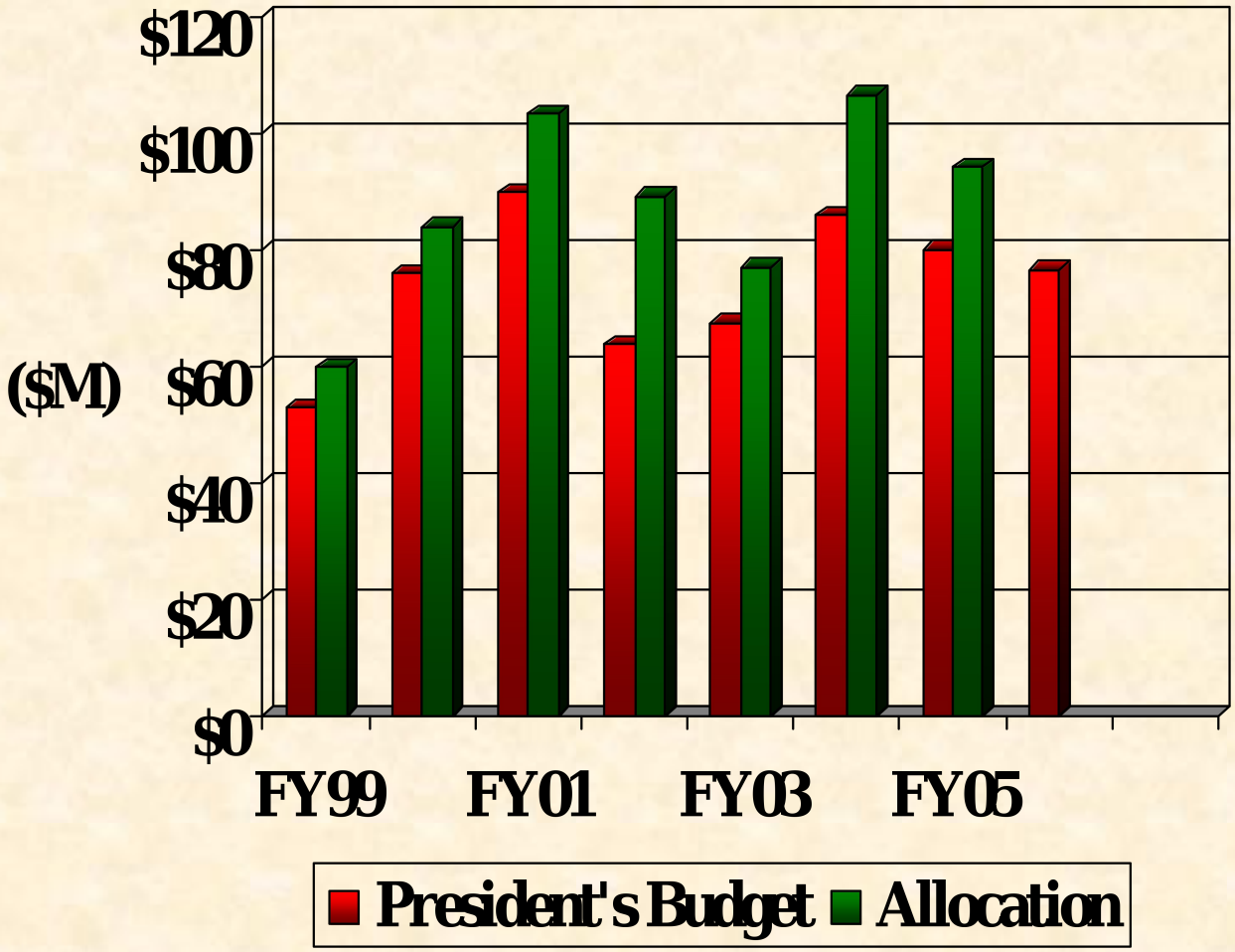
FUSRAP Program Trends

Philadelphia Engineer District



CW Program Trends

Philadelphia Engineer District



FY05 CW Budget & Program Timeline

Philadelphia Engineer District

- Mar 03 Budget EC for FY05
- Apr 03 to Aug 03 Congressional Member I
- Sep 03 Budget submitted to OMB
- Nov 03 OMB Pass-Back
- Dec 03 Capabilities Expressed
- 2 Feb 04 Civil Works Budget Request Released
- 1Oct 04 Start of FY05
- Oct 04 to Jan 05 Continuing Resolution Authorities (CRA)
- 20 Nov 04 House & Senate passed Conference Report
- 12 Dec 04 “Consolidated Appropriations Act, 2005” (HR 4818/PL 108-447) signed by President
- Jan 05 to Feb 05 Initial Work Allowances (“authority to expend funds”) issued

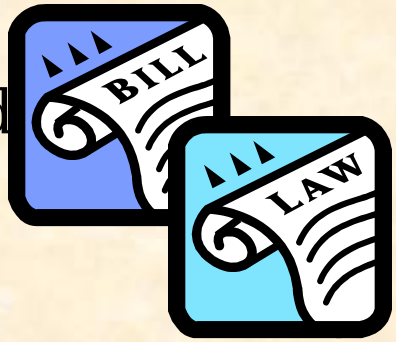
Tracking Appropriations to Allocations

Philadelphia Engineer District

- Initial Work Allowances may:
  - Adjust appropriated amounts
  - Provide clarifying language or instructi
  - Restrict authority to expend funds
- Appropriations Bill vs. President's Budget Request
  - Scenario 1: Appropriations Bill matches Budget Request
    - Probably No Issues
  - Scenario 2: Appropriations Bill exceeds Budget Request
    - Probably No Issues unless amount significantly exceeds District "Capability" amount

Tracking Appropriations to Allocations

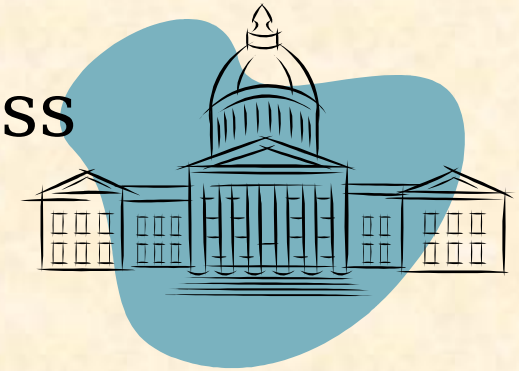
Philadelphia Engineer District



- Scenario 3: Appropriations ADD funds for studies/projects NOT included in bud request – possible issues:
  - Was an authority cited?
  - Were the funds “earmarked”?
    - Was the study/project named in Bill/Act language or only listed in the House/Senate Report ?
    - Were specific study/project funds added or specified as “…using funds included herein…”?
  - Is the work in accordance with Administration Policy?
    - If NO – Allocations may be withheld
    - If YES – Allocations may still be withheld pending Decision Documents, Agreements, completion of previous phases, etc.

Tracking Appropriations to Allocations

Philadelphia Engineer District



- Was the full intent of Congress specified?
  - Cost-sharing addressed?
- Is the work in accordance with the District's / Sponsor's capability?
  - Are the funds for a new phase for which the District and/or the non-Federal sponsor are not ready to proceed?
  - Are the funds for an ongoing phase but more than can be used in the BY?

Tracking Appropriations to Allocations

Philadelphia Engineer District

- Savings & Slippages (S&S)
  - Reductions in CFY appropriations for carryover of unexpended funds from previous FY
    - FY05: GI - 20%
    - FY05: CG - 10%
    - FY05: O&M - 5%
  - Study/project must re-budget for S&S in a future FY

- Rescissions and Other Adjustments
  - Other study/project level adjustments (+/-)
  - Rescissions cannot be re-programmed
- Allocations
  - Funds initially allocated to studies/projects for the current FY

Adjustments to Allocations

Philadelphia Engineer District

- Re-programming of funds
  - Movement of CFY allocations among studies/projects within the District, Division or USACE
  - Identified “excesses” used to cover “needs”
  - Threshold levels of approval established at District, Division (GI - $50k / CG - $300k), and HQ levels
  - Re-programming over $4M must go to House and Senate appropriation committees for approval

Adjustments to Allocations

Philadelphia Engineer District

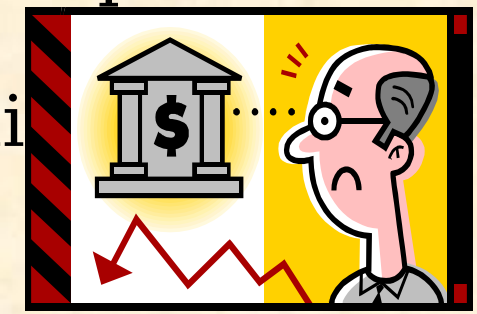
- Non-Federal sponsor's funds must also be "re-programmed" in accordance with cost-sharing percentages in executed Agreements

- "Payback" of re-programmed funds
  - Funds previously re-programmed out of a study/project now owed to be "Paid Back"
  - "Payback" is a top priority for re-programming IF excess funds can be identified

FY05 Program Guidance

Philadelphia Engineer District

- Allocations within capability are expected to be expended
- Allocations greater than Capabili likely to be targeted as “excess”
- Continuing contracts
  - Generally used to award partially funded contracts in one FY with the balance of contract funds anticipated in a later FY
  - Sometimes needed to comply with environmental windows
  - Not to be considered “business as usual”
  - Need Div/HQ approval for any new continuing contract awards



FY05 Program Guidance

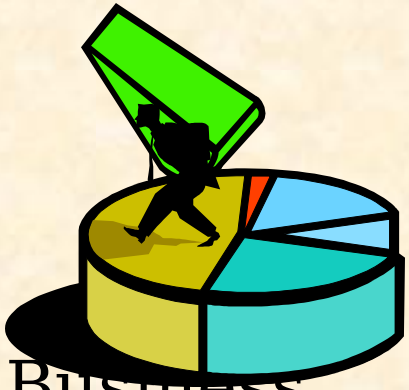
Philadelphia Engineer District

- Continuing Authorities Program (CAP) priority order for allocation of funds:
  - Projects listed ('Named") in FY04 or FY05 Conference Report
  - Other ongoing construction contracts
  - Ongoing CAP projects and new contracts
  - New CAP projects

FY06 Budget Development Guidance

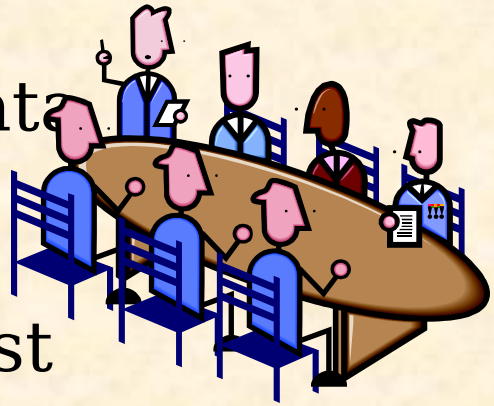
Philadelphia Engineer District

- GI: No New Studies / No New Phases
- Eight (8) Business Programs:
  - Emergency Management (EM)
  - Environment (EN)
  - Flood & Coastal Storm Reduction (F&CSDR)
  - Hydropower (H)
  - Navigation (N)
  - Recreation (RC)
  - Regulatory (RG)
  - Water Supply (WS)
- Some Projects split into multiple Business Lines



FY06 Budget Development Guidance

Philadelphia Engineer District



- Performance Measurement Data
  - Collected for each Business Line
- Studies/projects ranked against national objectives
  - e.g. Remaining Benefit vs. Cost Ratios
- Rankings revised based on OMB Passback
  - e.g. Coastal Storm Protection Projects without Navigation Mitigation component were dropped

Program and Budget Development Summary

- Always balancing three (3) FYs:
  - Executing FY05 Program
  - Defending FY06 Budget Request to Congress
  - Planning / Budgeting FY07 Program
- Studies & Projects re-compete for funding each year under constantly changing budget priorities and criteria
- Authorization/policy requirements (decision documents, FCSA, design and construction agreements) must be met for budgetary and allocation decisions
- Sponsor cost-sharing requirements (cash, LERRD, in-kind) must track with Federal budget/appropriation/allocation process